



JCS6 Rec PCT/PTO 15 FEB 2001

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ATTORNEY'S DOCKET NO.: B0801/7197 (ERP/KA)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chen, et al.
Serial No: 09/673,994
Filed: October 24, 2000
For: CNRE BINDING FACTORS AND USES THEREOF
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX PCT, Commissioner for Patents, Washington, D.C. 20231, on the 12th day of February, 2001.

Konstantinos Andrikopoulos
Konstantinos Andrikopoulos

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Response to Notification of Missing Requirements
- ☒ Executed Declarations by all inventors
- ☒ Copy of Notification of Missing Requirements
- ☒ Checks for \$390.00 and \$130.00
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

Check for \$390.00 and 130.00 are enclosed. If any further fee is determined to be due the Examiner is authorized to charged said fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

02/20/2001 LLANDGRA 00000035 09673994

01 FC:116
02 FC:154

390.00 OP
130.00 OP

Respectfully Submitted,

Edward R. Gates

Edward R. Gates (Reg. No. 31,616)
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617)720-3500

Attorney's Docket No.: B0801/7197
Date: FEBRUARY 12, 2001
x02/14/01

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Konstantinos Andrikopoulos
Konstantinos Andrikopoulos

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

Sir and/or Madam:

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

This is in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated Office mailed from the United States Patent and Trademark Office on November 14, 2000.

Attached is the Declaration and Power of Attorney executed by all the inventors.

A request for a two month extension of time and appropriate fee are submitted concurrently herewith

Also attached is a check for \$390.00 which covers the two month extension of time and a check for \$130.00 to cover the surcharge for this application.

Accordingly, Applicants believe that this document is responsive to the Notification of Missing Requirements

Serial No: 09/673,994

-2-

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 232) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By Edward R. Gates
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WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
Attorneys for Applicant(s)
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: B0801/7197
DATE: February 12, 2000
X2/14/00

09/673994


 UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office

 Address: ASSISTANT COMMISSIONER FOR PATENTS
 Box PCT
 Washington, D.C. 20231

U.S. APPLICATION NO. 09/673,994	CHEN	FIRST NAMED APPLICANT ✓	ATTY. DOCKET NO. BUSH 172197 (E)
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 WOLF GREENFIELD & SACKS
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 BOSTON MA 02210

5071

INTERNATIONAL APPLICATION NO.

PCT/US99/08502

I.A. FILING DATE

PRIORITY DATE

04/23/99

04/24/98

11/14/00

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed **24 OCT 2000** and

☐ Information Disclosure Statement(s) filed _____ and _____

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____

☐ Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☐ and copies of the references cited therein.

☐ Other:

 2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE **MUST** BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

 Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Franchise Young

National Stage Processing

Patent Specialist

(703) 305-3662